

## CHAPTER 3 The Church-Turing Thesis

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### The definition of algorithm

- Informally, *an algorithm is a collection of simple instructions for carrying out some task.*
- Algorithms play an important role in CS and Math.
- Even though algorithms have had a long history in mathematics (finding prime numbers, greatest common divisors, ...), the notion of algorithms itself was not defined precisely until the twentieth century.
- Before that, mathematicians had an intuitive notion of what algorithms were and relied upon that notion when using and describing them.
- The intuitive notion was insufficient for gaining a deeper understanding of algorithms.
- The story "Hilbert's tenth problem" relates how the precise definition of algorithm was crucial to one important mathematical problem.
- In 1900, mathematician David Hilbert, in his lecture (at the International Congress of Mathematicians in Paris), identified twenty-three mathematical problems and posed them as challenge for the coming century.
- The tenth problem on his list concerned algorithms.

*Devise a process according to which it can be determined by finite number of operations whether a polynomial has an integral root.*

## Hilbert's tenth problem

- A *polynomial* is a sum of terms, where each *term* is a product of certain variables and a constant called a *coefficient*.
- $6 \cdot x \cdot x \cdot x \cdot y \cdot z \cdot z = 6x^3yz^2$  is a term with coefficient 6.
- $6x^3yz^2 + 3xy^2 - x^3 - 10$  is a polynomial with four terms over the variables  $x, y,$  and  $z$ .
- A *root* of a polynomial is an assignment of values to variables so that the value of the polynomial is 0. That polynomial has a root  $x=5, y=3, z=0$ .
- This root is *integral* since all the variables are assigned integer values.
- Some polynomials have an integral root and some do not.
- So, Hilbert's tenth problem was to devise an algorithm that tests whether a polynomial has an integral root.
- We now know that no algorithm exists for this task; *it is algorithmically unsolvable*.
- For mathematicians of that period to come to this conclusion with their intuitive concept of algorithm would have been virtually impossible.
- The intuitive concept of algorithm may have been adequate for giving algorithms for certain tasks, but it was useless for showing that no algorithm exists for a particular task.
- Proving that an algorithm does not exist requires having a clear definition of algorithm. Progress on the tenth problem had to wait for that definition.

## Church-Turing thesis

- The definition came in the 1936 papers of *A. Church* and *A. Turing*.
- Church used a notational system called  $\lambda$ -calculus to define algorithms.
- Turing did it with his 'machines'.
- These two definitions were shown to be equivalent.
- This connection between the informal notion of algorithm and the precise definition has come to be called the *Church-Turing thesis*.

|                                   |        |                              |
|-----------------------------------|--------|------------------------------|
| Intuitive notion<br>of algorithms | equals | Turing machine<br>algorithms |
|-----------------------------------|--------|------------------------------|

- This thesis provides the definition of algorithm necessary to resolve Hilbert's tenth problem.
- In 1970, Yuri Matijasevich showed that no algorithm exists for testing whether a polynomial has integral roots.
- Later we will see the techniques that form the basis for proving that this and other problems are algorithmically unsolvable.

## Hilbert's tenth problem(cont.)

- We formulate Hilbert's tenth problem in our terminology.
- Let  $D = \{p: p \text{ is polynomial with an integral root}\}$ . Hilbert's tenth problem asks whether the language (set)  $D$  is decidable.
- The answer is negative. We can show that  $D$  is Turing-recognizable, but not decidable.
- Let first consider a simpler problem: it is an analog of Hilbert's tenth problem for polynomials that have only a single variable, e.g.  $4x^3 - 2x^2 + x - 7$ .
- Let  $D1 = \{p: p \text{ is polynomial over } x \text{ with an integral root}\}$ . Here is a Turing machine  $M1$  that recognizes  $D1$ :  
 $M1 =$  "the input is a polynomial  $p$  over the variable  $x$ .  
1. Evaluate  $p$  with  $x$  set successively to the values  $0, 1, -1, 2, -2, \dots$ .  
If at any point the polynomial evaluates to 0, *accept*."
- Clearly, if  $p$  has an integral root,  $M1$  will find it and accept. If  $p$  does not have an integral root,  $M1$  will run forever.
- For multivariable case, we can present similar Turing machine  $M$  that recognizes  $D$ .  $M$  will go through all possible settings of its variables to integral values.
- Both  $M1$  and  $M$  are recognizers but not deciders. We can convert  $M1$  to be decider for  $D1$  since we can calculate bounds within which the roots of a single variable polynomial must lie and restrict the search to these bounds. If a root is not found within these bounds, the machine *rejects*.  $x_0 \in [-kc_{\max} / |c_1|, kc_{\max} / |c_1|]$
- Matijasevich's theorem shows that calculating such bounds for multivariable polynomials is impossible.

## Terminology for describing Turing Machines

Three variants of description.

1. The **formal description**: spells out in full the Turing machine's states, transition function, and so on.
  2. The **implementation description**: uses English prose to describe the way that the Turing machine moves its head and the way that it stores data on its tape.
  3. The **high-level description**: uses English prose to describe an algorithm, ignoring the implementation model. At this level we do not need to mention how the machine manages its tape or head.
- From now on we will use only high-level descriptions.
  - The input to a TM is always a string.  
if we want to provide an object other than a string as input, we must first represent that object as a string. Strings can easily represent polynomials, graphs, grammars, automata, and any combination of those objects.
  - Notation for the encoding of an object  $O$  into its representation as a string is  $\langle O \rangle$ . A string  $\langle O1, O2, \dots, Ok \rangle$  is the encoding of several objects  $O1, O2, \dots, Ok$ .
  - We will use the following format for describing TM algorithms:
    - We describe TM algorithm with an indented segments of text within quotes.
    - We break the algorithm into stages, each usually involving many individual steps of the TM's computation.
    - The first line of the algorithm describes the input to the machine. If the input is simply  $w$ , the input is taken to be a string  $w$ . If the input is the encoding of an object as in  $\langle \tilde{A} \rangle$ , the TM first implicitly tests whether the input properly encodes an object of the desired form and rejects it if it doesn't.

## Example

- Let  $A$  be the language consisting of all strings representing undirected graphs that are connected.
- Graph is connected if every node can be reached from every other node by traveling along the edges of the graph.
- We write  $A = \{ \langle G \rangle : G \text{ is a connected undirected graph} \}$ .
- The following is a high-level description of a TM  $M$  that decides  $A$ .

$M =$  "On input  $\langle G \rangle$ , the encoding of a graph  $G$ :

1. Select the first node of  $G$  and mark it.
2. Repeat the following stage until no new nodes are marked.
3. For each node in  $G$ , mark it if it is attached by an edge to a node that is already marked.
4. Scan all the nodes of  $G$  to determine whether they all are marked. If they are, *accept*; otherwise *reject*."

