

# CHAPTER 4

## Decidability

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# Decidability (intro.)

- We have introduced Turing machines as a model of a general purpose computer
- We defined the notion of algorithm in terms of Turing machines by means of the Church-Turing thesis
- In this chapter we
  - begin to investigate the power of algorithms to solve problems
  - demonstrate certain problems that can be solved algorithmically and others that cannot
- Our objective is to explore the limits of algorithmic solvability
- Why should we study unsolvability? Showing that a problem is unsolvable doesn't appear to be of any use if we have to solve it. But ...
- We need to study this phenomenon for two reasons:
  - First, knowing that a problem is algorithmically unsolvable is useful because then you realize that the problem must be simplified or altered before you can find an algorithmic solution.
  - The second reason is cultural. Even if you deal with problems that clearly are solvable, a glimpse of the unsolvable can stimulate your imagination and help you gain an important perspective on computation.

# Decidable Languages

- In this section we give some examples of languages that are decidable by algorithms.
- For example, we present an algorithm which tests whether a string is a member of a context-free language.
- This problem is related to the problem of recognizing and compiling programs in a programming language.

## Decidable Problems Concerning Regular Languages

- We begin with certain computation problems concerning finite automata
- We give algorithms for testing whether a finite automata accepts a string, whether the language of a finite automaton is empty, and whether two finite automata are equivalent.
- For convenience we use languages to represent various computational problems.
- For example, the *acceptance problem* for DFAs of testing whether a particular finite automaton accepts a given string can be expressed as a language,  $A_{DFA}$  .

$$A_{DFA} = \{ \langle B, w \rangle : B \text{ is a DFA that accepts input string } w \}.$$

- The problem of testing whether a DFA  $B$  accepts an input  $w$  is the same as the problem of testing whether  $\langle B, w \rangle$  is a member of the language  $A_{DFA}$  .
- Similarly, we can formulate other computational problems in terms of testing membership in a language. Showing that a language is decidable is the same as showing that the computation problem is decidable (= algorithmically solvable).

# The Acceptance Problem for DFAs is Decidable

**Theorem 1**  $A_{DFA}$  is a decidable language.

- We present a TM  $M$  that decides  $A_{DFA}$ .

$M =$  “on input  $\langle B, w \rangle$ , where  $B$  is a DFA and  $w$  is a string:

1. Simulate  $B$  on input  $w$ .
2. If the simulation ends in an accept state, *accept*. If it ends in a non-accepting state, *reject*. “

A few implementation details:

- The input is  $\langle B, w \rangle$ . It is a representation of a DFA  $B$  together with a string  $w$ . One reasonable representation of  $B$  is a list of its five components,  $Q, \Sigma, \delta, q_0, F$ .
- When  $M$  receives its input,  $M$  first checks on whether it properly represents a DFA  $B$  and a string  $w$ . If not, it *rejects*.
- Then  $M$  carries out the simulation in a direct way. It keeps track of  $B$ 's current state and  $B$ 's current position in the input  $w$ .
- Initially,  $B$ 's current state is  $q_0$  and  $B$ 's current position is the leftmost symbol of  $w$ .
- The states and position are updated according to the specified transition function  $\delta$ .
- When  $M$  finishes processing the last symbol of  $w$ ,  $M$  *accepts* if  $B$  is in an accepting state;  $M$  *rejects* if  $B$  is in a non-accepting state.

# The Acceptance Problem for NFAs and REXs.

We can prove similar result for NFAs and Regular Expressions.

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$A_{NFA} = \{ \langle B, w \rangle : B \text{ is a NFA that accepts input string } w \}$ .

**Theorem 2:**  $A_{NFA}$  is a decidable language.

$N =$  “on input  $\langle B, w \rangle$ , where  $B$  is a NFA and  $w$  is a string:

1. Convert NFA  $B$  to an equivalent DFA  $C$  using the procedure for this conversion given in Theorem “subset construction”.
2. Run TM  $M$  from Theorem 1 on input  $\langle C, w \rangle$ .
3. If  $M$  accepts, *accept*, otherwise *reject*.”

Running TM  $M$  in stage 2 means incorporating  $M$  into the design of  $N$  as a subprocedure.

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$A_{REX} = \{ \langle R, w \rangle : R \text{ is a regular Expression that generates string } w \}$ .

**Theorem 3:**  $A_{REX}$  is a decidable language.

$P =$  “on input  $\langle R, w \rangle$ , where  $R$  is a reg.expr. and  $w$  is a string:

1. Convert  $R$  to an equivalent DFA  $C$  using the procedure for this conversion given in Theorem earlier.
2. Run TM  $M$  from Theorem 1 on input  $\langle C, w \rangle$ .
3. If  $M$  accepts, *accept*, otherwise *reject*.”

# The Emptiness Problem for the Language of a Finite Automaton.

$$E_{DFA} = \{ \langle A \rangle : A \text{ is a DFA and } L(A) = \emptyset \}.$$

**Theorem 4:**  $E_{DFA}$  is a decidable language.

- A DFA accepts some string if and only if reaching an accept state from the start state by traveling along the arrows of the DFA is possible.
- To test this condition we can design a TM  $T$  that uses marking algorithm similar to that used in example “*connectedness of a graph*”.

$T =$  “on input  $\langle A \rangle$ , where  $A$  is a DFA :

1. Mark the start state of  $A$ .
2. Repeat the following stage until no new states get marked:
3. Mark any state that has a transition coming into it from any state that is already marked.
4. If no accept state is marked, *accept*; otherwise *reject*.”

# The Equivalence Problem for Finite Automata.

$$EQ_{DFA} = \{ \langle A, B \rangle : A \text{ and } B \text{ are DFAs and } L(A) = L(B) \}.$$

**Theorem 5:**  $EQ_{DFA}$  is a decidable language.

- Consider a symmetric difference of  $L(A)$  and  $L(B)$ , i.e a language  $L(C)$

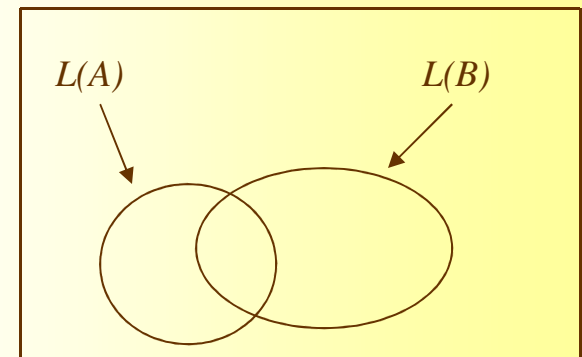
$$L(C) = (L(A) \cap \overline{L(B)}) \cup (\overline{L(A)} \cap L(B)).$$

The complement of  $L(A)$

- Hence,  $L(C) = \emptyset$  if and only if  $L(A) = L(B)$ .
- We can construct  $C$  from  $A$  and  $B$  with the constructions for proving the class of regular languages closed under complementation, union, and intersection.
- These constructions are algorithms that can be carried out by Turing machines.

$F =$  “on input  $\langle A, B \rangle$ , where  $A, B$  are DFAs :

1. Construct DFA  $C$  as described.
2. Run TM  $T$  from theorem 4 on input  $\langle C \rangle$ .
3. If  $T$  accepts, *accept*; if  $T$  rejects, *reject*.”



# Decidable Problems Concerning CFLs

- Here we describe algorithms to test whether a CFG generates a particular string and to test whether the language of a CFG is empty.
- Let  $A_{CFG} = \{ \langle G, w \rangle : G \text{ is a CFG that generates string } w \}$ .

**Theorem 6:**  $A_{CFG}$  is a decidable language.

- For CFG  $G$  and string  $w$  we want to test whether  $G$  generates  $w$ .
- One idea is to use  $G$  to go through all derivations to determine whether any is a derivation of  $w$ . This idea doesn't work, as infinitely many derivations may have to be tried. If  $G$  does not generate  $w$ , this algorithm would never halt. Hence this idea gives a TM which is *recognizer*, not a *decider*.
- To make this TM into a decider we need to ensure that the algorithm tries only finite many derivations.
- If  $G$  is in Chomsky normal form, any derivation of  $w$  has  $2n-1$  steps, where  $n$  is the length of  $w$ . Only finite many such derivations exist.
- We present a TM  $S$  that decides  $A_{CFG}$ .

$S =$  “on input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string:

1. Convert  $G$  to an equivalent grammar in Chomsky normal form.
2. List all derivations with  $2n-1$  steps, where  $n$  is the length of  $w$ , except if  $n=0$ , then instead list all derivations with 1 step.
3. If any of these derivations generate  $w$ , *accept*; if not, *reject*. “



# Decidable Problems Concerning CFLs(cont.)

- Here we describe an algorithm to test whether the language of a CFG is empty.
- Let  $E_{CFG} = \{ \langle G \rangle : G \text{ is a CFG and } L(G) = \emptyset \}$ .

**Theorem 7:**  $E_{CFG}$  is a decidable language.

- For CFG  $G$  we need to test whether the start variable can generate a string of terminals.
- The algorithm does so by solving a more general problem. It determines for each variable whether that variable is capable of generating a string of terminals.
- When the algorithm has determined that a variable can generate some string of terminals, the algorithm keeps track of this information by placing a mark on that variable. First the algorithm marks all terminal symbols in the grammar.
- Then it scans all the rules of the grammar. If it ever finds a rule that permits some variable to be replaced by some string of symbols all of which are already marked, the algorithm knows that this variable can be marked, too.
- The algorithm continues in this way until it cannot mark any additional variables. The TM  $R$  implements this algorithm.

$R =$  “on input  $\langle G \rangle$ , where  $G$  is a CFG:

1. Mark all terminals in  $G$ . Repeat (2) until no new variables get marked:
2. Mark any variable  $A$  where  $G$  has a rule  $A \rightarrow U_1 U_2 \dots U_k$  and each symbol  $U_1, U_2, \dots, U_k$  has already been marked.
3. If the start symbol is not marked, *accept*; otherwise *reject*. “

# Decidable Problems Concerning CFLs(cont.)

- Let  $EQ_{CFG} = \{ \langle G, H \rangle : G \text{ and } H \text{ are CFGs and } L(G) = L(H) \}$ .
- This language is **undecidable** (we cannot apply technique used in “ $EQ_{DFA}$  is decidable”; the class of CFLs is not closed under complementation and intersection).

• We can prove now the following.

• **Theorem 8:** Every CFL is decidable.

• Let  $A$  be a CFL and  $G$  be a CFG for  $A$ .

• Here is a TM  $M(G)$  that decides  $A$ .

• We build a copy of  $G$  into  $M(G)$ .

•  $S$  is a TM from Theorem 6.

$M(G) =$  “on input  $w$ :

1. Run TM  $S$  on input  $\langle G, w \rangle$
2. If this machine accepts, *accept*;  
if it rejects, *reject*. “

