

Bucket Sort

19

Idea

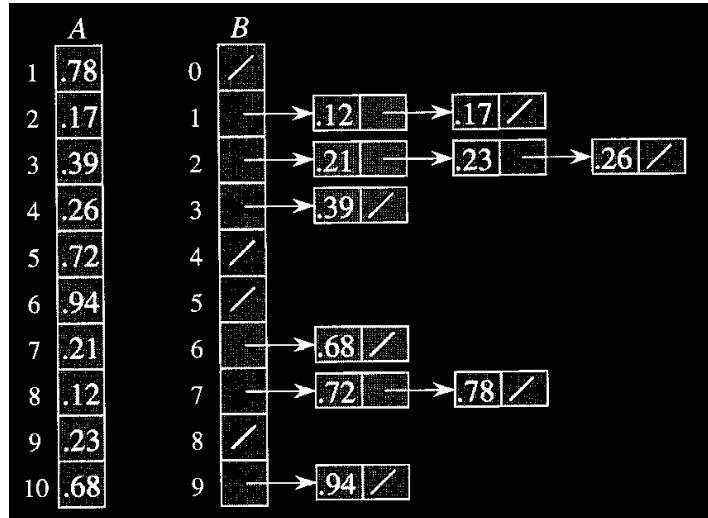
- Counting sort assumes that the input consists of integers in a small range.
- Bucket sort assumes that the inputs are generated by a random process and elements are uniformly distributed over the interval $[0,1]$.
- Algorithm:
 - Throws the numbers in their right buckets.
 - Sort each bucket with regular insertion sort.
 - Concatenate the buckets.



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Example



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Code

```
BUCKET-SORT(A)
1  $n \leftarrow \text{length}[A]$ 
2 for  $i \leftarrow 1$  to  $n$ 
3   do insert  $A[i]$  into list  $B[\lfloor nA[i] \rfloor]$ 
4 for  $i \leftarrow 0$  to  $n - 1$ 
5   do sort list  $B[i]$  with insertion sort
6 concatenate the lists  $B[0], B[1], \dots, B[n - 1]$  together in order
```



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Proof of Correctness

- If two items are in the same bucket then they are in proper relative order.
- If two items are in two different buckets, even then they are in right order.



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Complexity

- Each small list is sorted with insertion sort:

$$\sum_{i=0}^{n-1} O(E[n_i^2]) = O\left(\sum_{i=0}^{n-1} E[n_i^2]\right)$$

- What is the expected length of the small lists?

- Probability of a a list to have length $1.., 2... n$?
- Binomial distribution:

$$p = \frac{1}{n}, E[n_i] = np = 1, \text{Var}[n_i] = npq = 1 - \frac{1}{n}$$

$$E[n_i^2] = \text{Var}[n_i] + E^2[n_i],$$

$$= \left(1 - \frac{1}{n}\right) + 1^2 = 2 - \frac{1}{n} = \Theta(1)$$

$$\sum_{i=0}^{n-1} O(E[n_i^2]) = O\left(\sum_{i=0}^{n-1} E[n_i^2]\right) = O(n)$$



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Class Mechanics

- Discussion about Final Project
- Feedback on Grade
- Quiz



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Heap Sort

Motivation

- For Contiguous array, the best is QuickSort. QuickSort has no $O(n \log n)$ worst case bound. HeapSort has worst case bound $O(n \log n)$ and sorts in place.

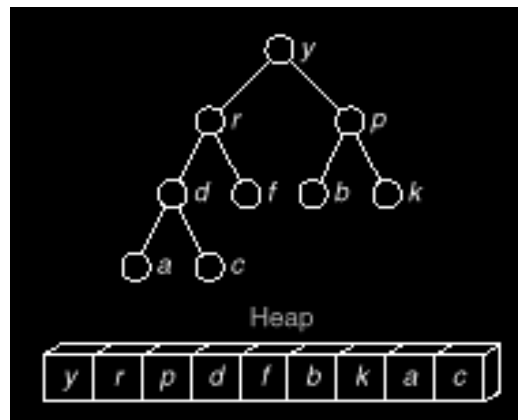


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Heap

DEFINITION A **heap** is a list in which each entry contains a key, and, for all positions k in the list, the key at position k is at least as large as the keys in positions $2k$ and $2k + 1$, provided these positions exist in the list.



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Idea

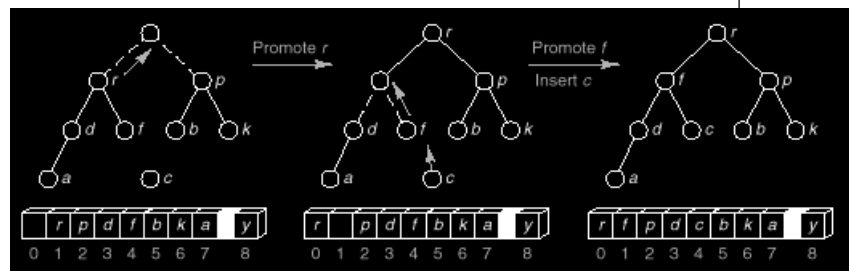
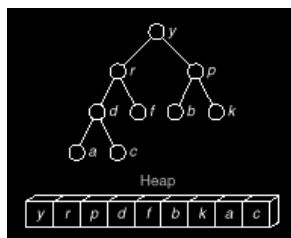
- Build the heap,
 - by one by one inserting the keys.
- One by one take the roots out of the tree.
 - Insert it in the sorted list.
 - After each deletion rearrange the heap so that the largest again reaches at the top.



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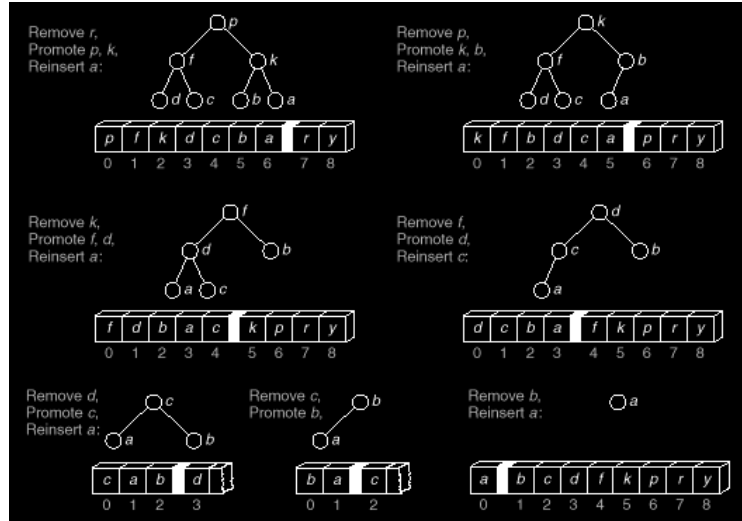
Example



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Example (contd..)



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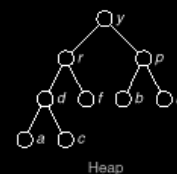
Main & BuildHeap Code

```
void HeapSort(List *list)
{
    Position lu;           /* Entries beyond lu have been
sorted. */
    ListEntry current;    /* holds entry temporarily
removed from list */

    BuildHeap(list);      /* First phase: turn list into
a heap. */
    for (lu = list->count - 1; lu >= 1; lu--) {
        current = list->entry[lu]; /* Extract last element
from list. */
        list->entry[lu] = list->entry[0]; /* Move top of
heap to end of list. */
        InsertHeap(current, 0, lu - 1, list);
    }
}

void BuildHeap(List *list)
{
    Position low;         /* Entries beyond low form a
heap. */

    for (low = list->count / 2 - 1; low >= 0; low--)
        InsertHeap(list->entry[low], low, list->count,
list);
}
```



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Second half of the nodes already
satisfies the heap condition.

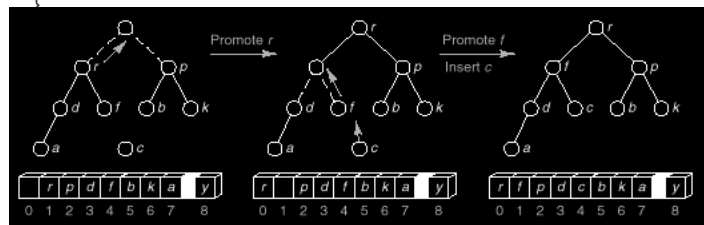
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InsertHeap

```

void InsertHeap(ListEntry current,
Position low, Position high, List *list)
{
    Position large;
    large = 2 * low + 1;
    while (large <= high) {
        if (large < high && LT(list->entry[large].key,
list->entry[large + 1].key))
            large++;
        if (GE(current.key, list->entry[large].key))
            break;
        else {
            list->entry[low] = list->entry[large];
            low = large;
            large = 2 * low + 1;
        }
    }
}

```



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Analysis

- Each insertion may check $\log n$ heap nodes.
- Each check has two comparisons and one assignment.
- Let $m=n/2$, k varies from $m-1$ to 0. Cost of building is:

$$2 \sum_{k=0}^{m-1} \log \frac{n}{k} = 2(m \log n - \log m!) \approx 5m \approx 2.5n$$

$$\log m = \log n - 1$$

$$\log m! = m \log m - 1.5m \approx m \log m - 2.5m$$

- For sorting n elements we need to Insert (or restore the heap) n times. The cost is:

$$2 \sum_{k=2}^n \log k \leq 2n \log n$$

- The worst case complexity is $2n \log n$ comparisons
- and $n \log n$ assignments.



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Heap Sort and Quick Sort

- Worst-case performance of Heap Sort ($2n \log n$) is poorer than the average-case performance of Quick Sort ($1.39n \log n$).
- However, the worst-case of Quick Sort is far worse than that of Heap Sort.
- The average-case analysis of Heap Sort is quite complex, however it shows it is almost same as its worst-case.
- On the average, therefore Quick Sort runs almost twice as fast as Heap Sort.



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