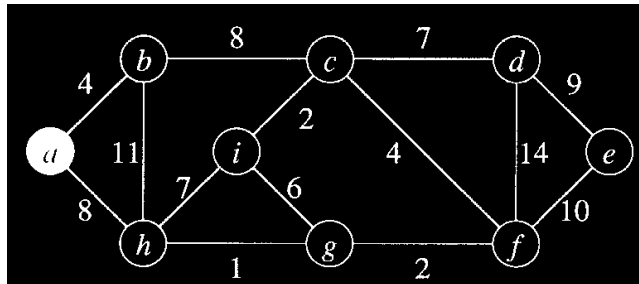


<b>CS 4/56101</b>	<b>Kent State University</b> Dept. of Math & Computer Science <u>LECT-14</u>
<b>Design and Analysis of Algorithms</b>	

# Minimum Spanning Tree

## Minimum Spanning Tree

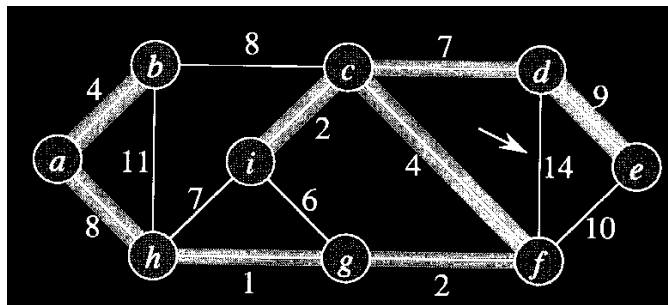
- $G=(V,E)$  is an undirected graph, where  $V$  is a set of nodes and  $E$  is a set of possible interconnections between pairs of nodes.
- For each edge  $(u,v)$  in  $E$ , we have a weight  $W(u,v)$ .
- Find an acyclic subset  $T$  of  $E$ , that connects all the vertices and whose total weight is minimum.



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## A Spanning Trees



**Quiz: Are minimum spanning trees unique?**



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## Kruskal's Algorithm

- Consider  $v$  isolated trees in the forest. Each initially with only one node.
- Pick the shortest path that connects two trees in the forest.
- In other words, select a least-cost edge that does not result in a cycle when added to a set of already selected edges.



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## Algorithm

```
MST-KRUSKAL( $G, w$ )
1  $A \leftarrow \emptyset$ 
2 for each vertex  $v \in V[G]$ 
3   do MAKE-SET( $v$ )
4 sort the edges of  $E$  by nondecreasing weight  $w$ 
5 for each edge  $(u, v) \in E$ , in order by nondecreasing weight
6   do if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7     then  $A \leftarrow A \cup \{(u, v)\}$ 
8         UNION( $u, v$ )
9 return  $A$ 
```

Spanning Tree edge set.

Initialize  $V$  forests.

Sort edges.

Make sure two ends  
are in two trees.  
No cycle.

Merge the trees in the forest

If no cycle, add the edge  
in the spanning tree set.



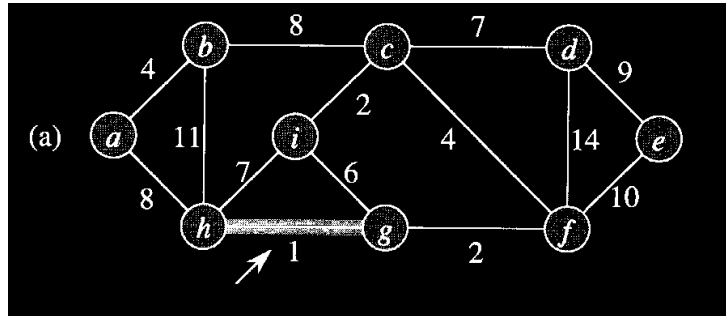
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## Example: Kruskal's Algorithm



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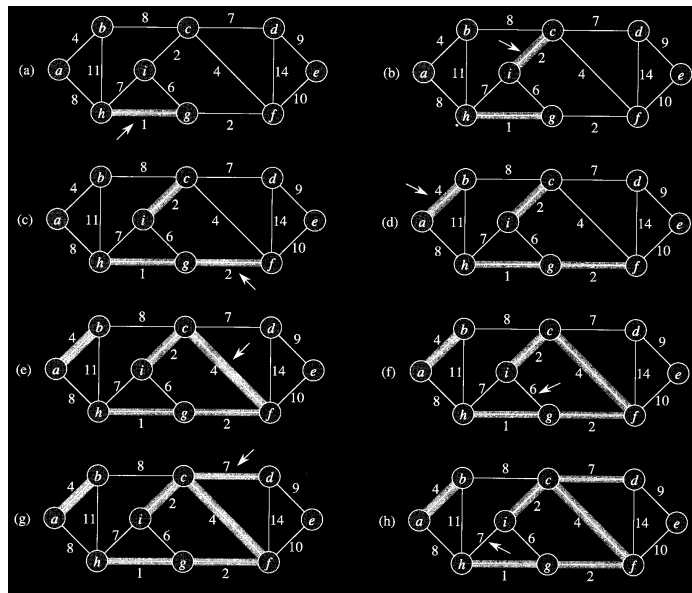


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## Example: Kruskal's Algorithm



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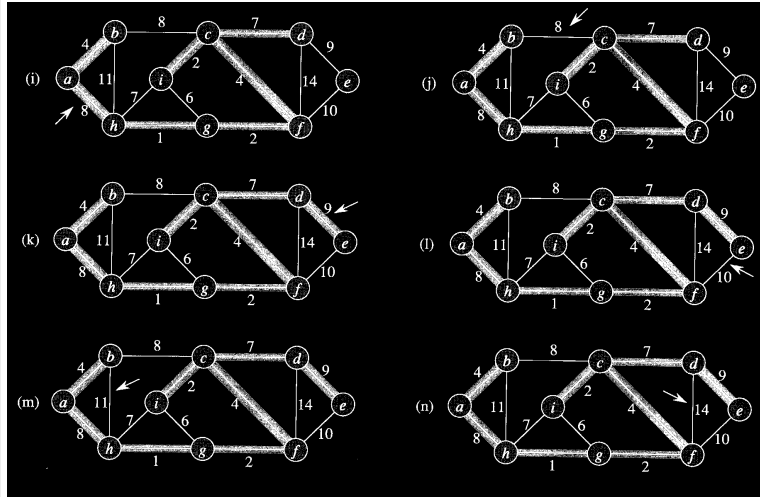


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## Example: Continued..



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## Proof of Correctness of an Algorithm

## Correctness of Kruskal's Algorithm

- Let  $T$  be the tree found by Kruskal's algorithm.
- Let  $U$  be the actual minimum spanning tree.
- We will prove  $\text{cost of } T = \text{cost of } U$ .
- Do you agree?
  - $T$  and  $U$  and all spanning trees must have exactly  $V-1$  edges.
  - If,  $k$  ( $k > 0$ ) number of edges in  $U$  are not in  $T$ , then exactly  $k$  number of edges in  $T$  must not be in  $U$ .
- We will one by one substitute a unique edge of  $U$  by unique edge of  $T$  to prove that the cost does not change.



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## Correctness of Kruskal's Algorithm (contd..)

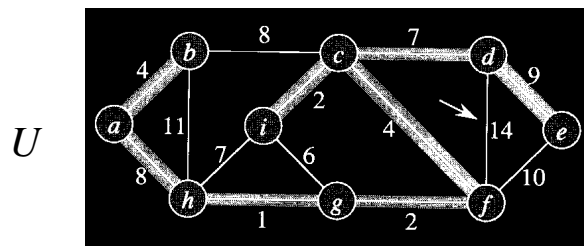
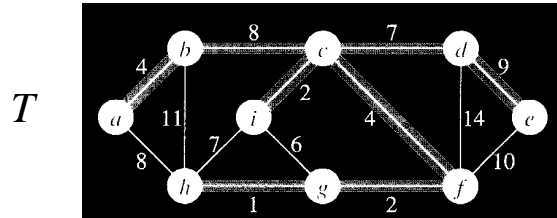
- Let  $e$  be the least-cost edge in  $T$  that is not in  $U$ .
- Add  $e$  to  $U$ .
  - It must create a cycle.
  - There must be an edge  $f$  in this cycle which was not in  $T$ .
- Take it out. The new spanning tree has cost  $V = U + \{e\} - \{f\}$
- Can  $\{e\} < \{f\}$ ?
  - No because, then  $U$  cannot be minimum spanning tree.
- Can  $\{e\} > \{f\}$ ?
  - No because, then  $f$  will be included by Kruskal's greedy scheme before  $e$ . That did not happen!
- Therefore  $\{e\} = \{f\}$
- Therefore  $T = U$



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## Correctness of Kruskal's algorithm



$$V = U + \{b,c\} - \{a,h\}$$

Two solution's  
with same cost.



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## Complexity of Kruskal's Algorithm

MST-KRUSKAL( $G, w$ )

```

1   $A \leftarrow \emptyset$ 
2  for each vertex  $v \in V[G]$ 
3    do MAKE-SET( $v$ )
4  sort the edges of  $E$  by nondecreasing weight  $w$ 
5  for each edge  $(u, v) \in E$ , in order by nondecreasing weight
6    do if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7       then  $A \leftarrow A \cup \{(u, v)\}$ 
8           UNION( $u, v$ )
9  return  $A$ 
    
```

- 1-3: Initialization  $O(V)$
- 4: sorting  $O(E \log E)$
- 5:  $E$  iterations.
- 6: Each FIND-SET is  $O(\log E)$  total cost =  $2E \cdot O(\log E)$
- 7:  $2E$
- 8: UNION is at most  $V-1$
- Overall complexity is  $O(V+E \log E)$

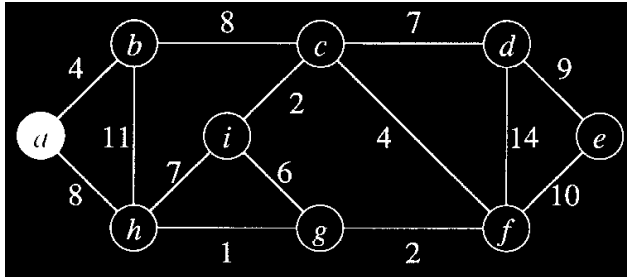


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## Prim's Algorithm

- Like Kruskal's, but, start with any node.
- Extend the tree to the closest node!



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## Prim's Algorithm

MST-PRIM( $G, w, r$ )

```

1   $Q \leftarrow V[G]$ 
2  for each  $u \in Q$ 
3    do  $key[u] \leftarrow \infty$ 
4   $key[r] \leftarrow 0$ 
5   $\pi[r] \leftarrow NIL$ 
6  while  $Q \neq \emptyset$ 
7    do  $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in \text{Adj}[u]$ 
9        do if  $v \in Q$  and  $w(u, v) < key[v]$ 
10           then  $\pi[v] \leftarrow u$ 
11               $key[v] \leftarrow w(u, v)$ 
    
```

Add the vertices in a Queue

Key[u] is the cost of reaching vertex u from current tree set.

Start from any node r. Its cost is zero.  $\pi[u]$  is the root of u.

Take the vertex closest to the tree.

For each node adj to u, but not in spanning tree, update the reaching cost.



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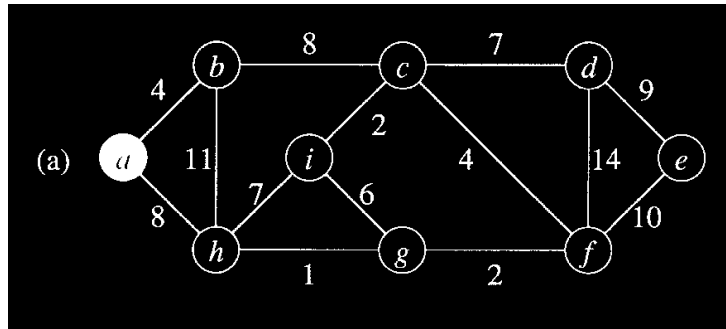
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## Example: Prim's Algorithm



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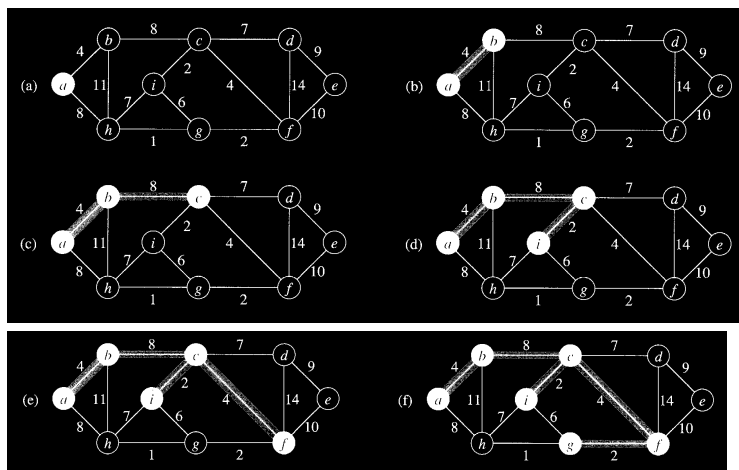


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## Example: Prim's Algorithm

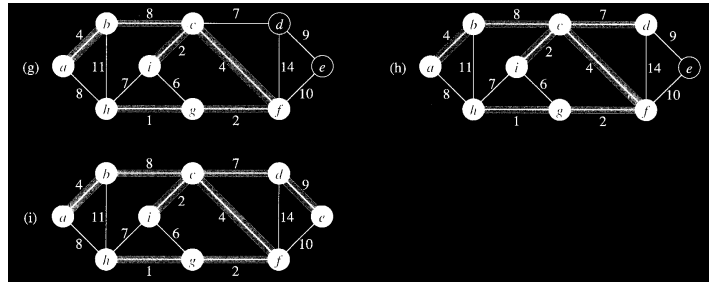


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## Example: Prim's Algorithm



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## Complexity of Prim's Algorithm

```

MST-PRIM( $G, w, r$ )
1   $Q \leftarrow V[G]$ 
2  for each  $u \in Q$ 
3    do  $key[u] \leftarrow \infty$ 
4   $key[r] \leftarrow 0$ 
5   $\pi[r] \leftarrow NIL$ 
6  while  $Q \neq \emptyset$ 
7    do  $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in \text{Adj}[u]$ 
9        do if  $v \in Q$  and  $w(u, v) < key[v]$ 
10           then  $\pi[v] \leftarrow u$ 
11               $key[v] \leftarrow w(u, v)$ 
    
```

- 1-5: Initialization  $O(V)$
- 6: Loop executes  $V$  times.
- 7: Each EXTRACT-MIN is  $O(\log V)$ . Total  $O(V \log V)$ .
- 8: Loop 8-11 executes  $E$  times.
- 9: membership can be tested in constant time.
- 11:  $v$  have to be deleted from  $Q$  (not shown):  $O(\log V)$
- Total:  $O(V \log V + E \log V)$



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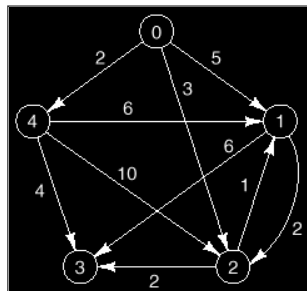
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# Shortest Path

21

## Shortest Path

Given a directed graph in which each edge has a nonnegative *weight* or cost, find a path of least total weight from a given vertex, called the *source*, to every other vertex in the graph.



- Other Variants:
  - Single Destination shortest-path problem.
  - Single-pair shortest path problem.
  - All pairs shortest-paths problem.



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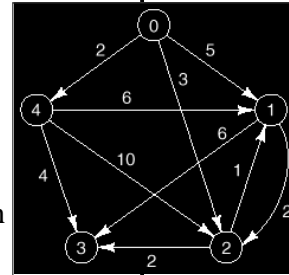
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## Greedy Method (Dijkstra's Algorithm)

- We keep a set  $S$  of vertices whose closest distances to the source, Vertex 0, are known and add one vertex to  $S$  at each stage.
- We maintain a table  $D$  that gives, for each vertex  $v$ , the distance from 0 to  $v$  along a path all of whose vertices are in  $S$ , except possibly the last one.
- To determine what vertex to add to  $S$  at each step, we apply the greedy criterion of choosing the vertex  $v$  with the smallest distance recorded in the table  $D$ , such that  $v$  is not already in  $S$ .

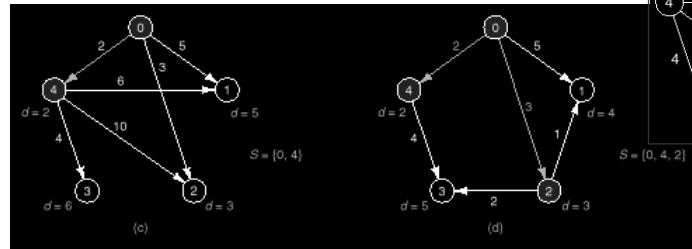
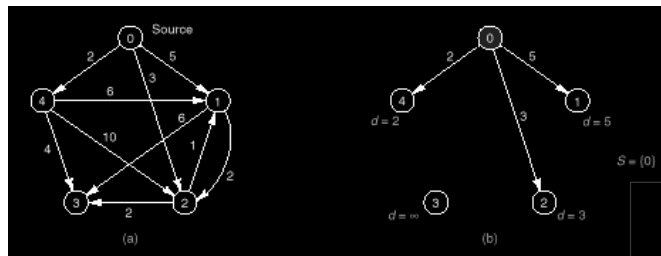


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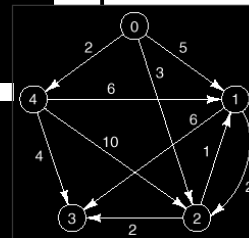


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## Example

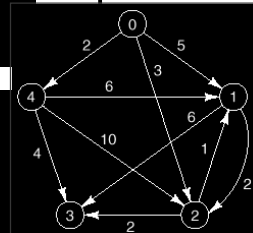
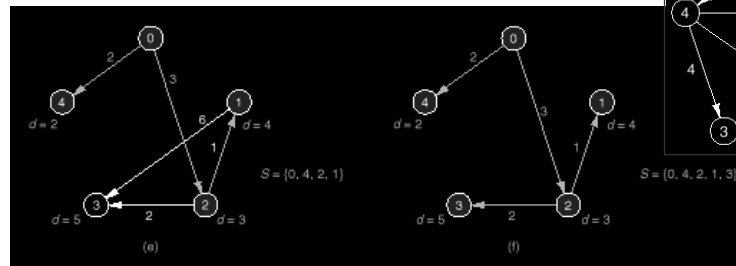
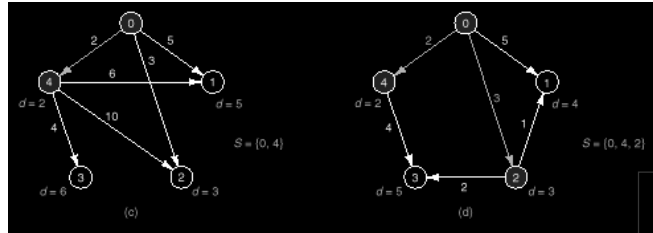


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## Example (contd..)



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## Algorithm

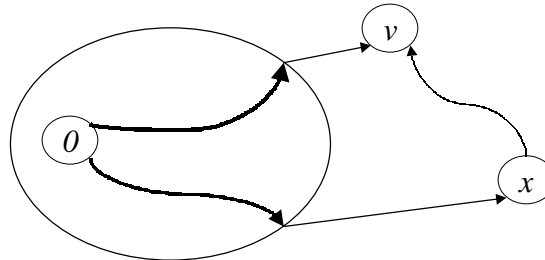
- 1. INITIALIZE\_SINGLE-SOURCE(G,s)
- 2. S = EMPTY.
- 3. Q= V[G]
- 4. While Q not EMPTY
- 5. u= EXTRACT-MIN(Q)
- 6. Add u in S
- 7. For each vertex v adjacent to u
- 8. Do Update cost
  - if  $D[v] > d[u]+w[u,v]$
  - then  $D[v]=d[u]+w[u,v]$
  - $GoFrom[v]=u$



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## Proof of Correctness



- Let us assume the path through another node  $x$ , which is not yet included in  $S$  to  $v$  is closer.
- Then  $D[x]$  must be smaller than  $D[v]$ , but in that case  $x$  should already be included in  $S$ !



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**Quiz: what if  
we allow  
negative  
distances?**

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## Complexity

- Each EXTRACT-MIN takes  $O(V)$ .
- Each time at least one vertex will be added.
- Therefore it can take at most  $V$  iterations.
- Step 5 is  $O(v^2)$
- On the other hand, in steps 4-8 each path will be processed only once.
- Thus the complexity is  $O(V^2+E)$ .

- 1. INITIALIZE\_SINGLE-SOURCE( $G,s$ )
- 2.  $S = \text{EMPTY}$ .
- 3.  $Q = V[G]$
- 4. While  $Q$  not EMPTY
- 5.  $u = \text{EXTRACT-MIN}(Q)$
- 6. Add  $u$  in  $S$
- 7. For each vertex  $v$  adjacent to  $u$
- 8. Do Update cost
  - if  $D[v] > d[u] + w[u,v]$
  - then  $D[v] = d[u] + w[u,v]$
  - $\text{GoFrom}[v] = u$



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## Bellman-Ford Algorithm

- It can solve the shortest-path problem, even if there are negative weighted links.
- What if there is a negative weighted cycle?
- Its complexity is  $O(V.E)$



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