

# Corona: A Stabilizing Deterministic Message-Passing Skip List

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**Abstract.** We present Corona, a deterministic self-stabilizing algorithm for skip list construction in structured overlay networks. Corona operates in the low-atomicity message-passing asynchronous system model. Corona requires constant process memory space for its operation and, therefore, scales well. We prove the general necessary conditions limiting the initial states from which a self-stabilizing structured overlay network in message-passing system can be constructed. The conditions require that initial state information has to form a weakly connected graph and it should only contain identifiers that are present in the system. We formally describe Corona and rigorously prove that it stabilizes from an arbitrary initial state subject to the necessary conditions. We extend Corona to construct a skip graph.

## 1 Introduction

In a peer-to-peer overlay network, each process can communicate with any other peer process over the underlying network as long as the process is aware of the peer's identifier. These identifier records form the network topology. Peer-to-peer networks are effective for distributed information storage, group communication and large scale computations. The amount of research literature on this subject is extensive [2,3,4,6,13,16,22,23,25].

The skip list [20] is a popular peer-to-peer topology as it allows efficient search and quick topology updates. Specifically, both identifier search as well as process deletion or addition in a skip list take  $O(\log n)$  steps, where  $n$  is the number of nodes. A skip list may be either randomized or deterministic. While the randomized version may be simpler to implement, the deterministic one provides firm search and topology update bounds as well as greater assurance against failures, malicious behavior and unfavorable topology changes.

A skip list may not be sufficiently robust against node crashes. Indeed, a single node failure may disconnect the skip list. Neither is a skip list particularly suitable for concurrent searches. The standard measures of robustness and concurrency are expansion and congestion [4]. The expansion and congestion of

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the skip list are  $O(1/n)$  and  $\Omega(n)$  respectively. A skip list extension, the skip graph [3], significantly improves these metrics.

Peer-to-peer systems may include millions of nodes. At such scale, fault-tolerance and topology maintenance become a major concern. Self-stabilization [11] may be a particularly suitable failure recovery approach for peer-to-peer systems [1,19] as it is oblivious to the exact nature of the fault. As soon as the influence of the fault stops, regardless of the state in which this fault leaves the system, its self-stabilization is guaranteed to return it to a correct state.

Due to the large initial state space, self-stabilization programs require careful correctness proofs. In practical low atomicity communication models, such as the message-passing system, are considered such proofs may become difficult both to construct and to verify. Furthermore, a large initial state space may lead to excessive process memory demands during stabilization, especially during initial linearization: topological sorting of the processes [19].

**Our Contribution.** In this paper we present Corona: a self-stabilizing deterministic skip list construction algorithm in message-passing systems. To the best of our knowledge Corona is the first such algorithm.

Before describing Corona, we prove two necessary conditions for the existence of a self-stabilizing solution to any overlay network problem. The conditions limit the possible initial states in two ways: the state information must form a weakly connected graph, and the states should not include identifiers that are not present in the system. Subject to these restrictions, we rigorously prove Corona to correctly stabilize from an arbitrary initial state.

Instead of struggling to counteract the large state space of message passing systems, we are able to use the low-atomicity model to our advantage: the channels are employed as extra identifier storage space. This allows us to keep the Corona design relatively straightforward and to linearize processes using process memory that is independent of the system size. We extend Corona to build skip graphs and to accommodate topology updates.

**Related Literature.** There is a large body of literature on how to efficiently maintain peer-to-peer networks. Most of the results focus on preserving the overlay network in the legal set of states. Relatively few studies address the self-stabilization of such networks. Due to the topology being part of system state, the majority of classic self-stabilizing techniques are not applicable to peer-to-peer networks.

Let us survey the publications in self-stabilization of peer-to-peer networks. A few papers address simple topologies. The Iterative Successor Pointer Rewiring Protocol [10] and the Ring Network [24] organize the nodes in a sorted ring. Onus et al. [18] linearize a network into a sorted linked list. However, they use a simplified synchronized communication model for their algorithm.

There are several studies of more sophisticated structures. Hérault et al. [14] describe a self-stabilizing spanning tree algorithm. Caron et al. [8] present a Snap-Stabilizing Prefix Tree for Peer-to-Peer systems while Banchi et al. [7]

show stabilizing peer-to-peer spatial filters. However, none of these structures approach the congestion and expansion of a skip graph. Clouser et al. [9] propose a deterministic self-stabilizing skip list for shared register communication model. Gall et al. [12] discuss models that capture the parallel time complexity of locally self-stabilizing networks that avoids bottlenecks and contention. Jacob et al. [21] generalize insights gained from graph linearization to two dimensions and present a self-stabilizing construction for Delaunay graphs. In another paper, Jacob et al. [15] present a self-stabilizing, randomized variant of the skip graph and show that it can recover its network topology from any weakly connected state in  $\mathcal{O}(\log^2 n)$  communication rounds with high probability in a simple, synchronized message passing model. In [5] the authors present a general framework for the self-stabilizing construction of any overlay network. However, the algorithm requires the knowledge of the 2-hop neighborhood for each node and involves the construction of a clique. In that way, failures at the structure of the overlay network can easily be detected and repaired.

## 2 Model, Notation and Definitions

**Peer-to-Peer Networks.** A peer-to-peer overlay network program consists of a set  $N$  of  $n$  processes with unique identifiers. A process can communicate with any other process as long as it has a record of its identifier. The communication is by passing messages through channels.

Peer-to-peer networks often require ordering the processes in a sequence according to their identifiers. Two processes  $a$  and  $b$  are *consequent*, denoted  $\mathbf{cnsq}(a, b)$ , if  $(\forall c : c \in N : (c < a) \vee (b < c))$ . That is, two consequent processes do not have an identifier between them. For the sake of completeness, we assume that  $-\infty$  is consequent with the smallest id process in the system. Similarly, the largest id process is consequent with  $+\infty$ .

Graph terminology helps us in reasoning about peer-to-peer networks. A *link* is a pair of identifiers  $(a, b)$  defined as follows: either message  $message(b)$  carrying identifier  $b$  is in the incoming channel of process  $a$ , or process  $a$  stores identifier  $b$  in its local memory. See Figure 2 for illustration. Note that a thus defined link is directed. In referring to such a directed link  $(a, b)$ , we always state the predecessor process  $a$  first and the successor process  $b$  second. The *length* of a link  $(a, b)$  is the number of processes  $c$  such that  $a < c < b$ . Note that the length of  $(a, b)$  is zero if  $\mathbf{cnsq}(a, b)$  is true. The length of  $(-\infty, a)$  is zero if  $a$  is the smallest id in the system, it is  $n$  otherwise. Similarly, the length of  $(b, +\infty)$  is zero if  $b$  is maximum and  $n$  otherwise. The *process connectivity* graph  $CP$  is the graph formed by the links of the identifiers stored by the processes. A *channel connectivity* multigraph  $CC$  includes both locally stored and message-based links. Self-loop links are not considered. By this definition,  $CP$  is a subgraph of  $CC$ . Note that besides the processes,  $CC$  and  $CP$  may contain two nodes  $+\infty$  and  $-\infty$  and the corresponding links to them. Graph  $CP$  captures current network connectivity information the set of processes possesses.  $CC$  reflects the connectivity data that is stored implicitly in the messages in communication channels. Again, refer to Figure 2 for an example of both graph types.

**Computation Model.** Each process contains a set of variables and actions. A *channel*  $C$  is a special kind of variable whose values are sets of messages. We assume that the only information a message carries is process identifiers. We further assume that a message carries exactly one identifier. The identifiers are defined. That is, a message cannot carry  $\infty$ . Channel message capacity is unbounded. Messages cannot be lost. The order of message receipts does not have to match the order of transmission. That is, the channels are not FIFO. Due to this, we treat all messages sent to a particular process as belonging to a single incoming channel.

An action has the form  $\langle guard \rangle \longrightarrow \langle command \rangle$ . *guard* is either a predicate over the contents of the incoming channel or **true**. In the latter case the predicate and corresponding action are *timeout*. *command* is a sequence of statements assigning new values to the variables of the process or sending messages to other processes.

*Program state* is an assignment of a value to every variable of each process and messages to each channel. A program state may be arbitrary, the messages and process variables may contain identifiers that are not present in the network. An identifier is *existing* if it is present in the network. An action is *enabled* in some state if its guard is **true** in this state. It is *disabled* in this state otherwise. A timeout action is always enabled. We consider programs with timeout actions, hence, in every state there is at least one enabled action.

A *computation* is an infinite fair sequence of states such that for each state  $s_i$ , the next state  $s_{i+1}$  is obtained by executing the command of an action that is enabled in  $s_i$ . This disallows the overlap of action execution. That is, action execution is *atomic*. We assume two kinds of fairness of computation: weak fairness of action execution and fair message receipt. *Weak fairness* of action execution means that if an action is enabled in all but finitely many states of the computation then this action is executed infinitely often. *Fair message receipt* means that if the computation contains a state where there is a message in a channel, the computation also contains a later state where this message is not present in the channel.

We focus on programs that do not manipulate the internals of process identifiers. Specifically, a program is *compare-store-send* if the only operations that it does with process identifiers is comparing them, storing them in local process memory and sending them in a message. That is, operations on identifiers such as addition, radix computation, hashing, etc. are not used. In a compare-store-send program, if a process does not store an identifier in its local memory, the process may learn this identifier only by receiving it in a message. A compare-store-send program cannot introduce new identifiers to the network, it can only operate on the ids that are already there. If a computation of a compare-store-send program starts from a state where every identifier is existing, each state of this computation contains only existing identifiers.

A state *conforms* to a predicate if this predicate is **true** in this state; otherwise the state *violates* the predicate. By this definition, every state conforms to predicate **true** and none conforms to **false**. Let  $A$  and  $B$  be predicates over

program states. Predicate  $A$  is closed with respect to the program actions if every state of the computation that starts in a state conforming to  $A$  also conforms to  $A$ . Predicate  $A$  converges to  $B$  if both  $A$  and  $B$  are closed and any computation starting from a state conforming to  $A$  contains a state conforming to  $B$ .

**Problems.** The *overlay network problem* maps each set of identifiers to a set of acceptable process connectivity graphs. For example, for every set of processes, the *linearization problem* specifies exactly one graph where each process is linked with its consequent processes.

Linearized overlay networks simplify process search. When discussing a linearized network, processes with identifiers greater than  $p$  are to the *right* of  $p$ , while processes with identifiers smaller than  $p$  are to the *left* of  $p$ . That is, we consider processes arranged in the increased order of identifiers from left to right. See Figure 2 for an illustration.

The process search time in a simple linearized network is proportional to its size. This may not be acceptable in large-scale networks. Shortcut links are added to accelerate navigation. In a deterministic *skip list*, these links are created recursively by levels. The zero (bottom) level is the linearized list of processes. In a  $k$ - $l$  skip list, a node  $a$  has a link to node  $b$  at level  $i$  if  $a$  and  $b$  are between  $k$  and  $l$  hops away at level  $i - 1$ . For example, in a  $1$ - $2$  skip list,  $a$  and  $b$  are linked at level  $i$  if they are no more than three and no less than two hops away at level  $i - 1$ . Refer to Figure 4 for an example of a  $1$ - $2$  skip list.

In the  $k$ - $l$  *skip list construction problem*, a set of processes is mapped to the set of possible skip lists. Note that in a linearization problem the set of identifiers uniquely determines the connectivity graph. In case of  $k$ - $l$  skip list construction, depending on which processes participate at each level, the same list of identifiers may form several possible skip lists. Hence, the skip list construction problem specifies multiple acceptable  $CP$  graphs for a single set of processes.

We define the two problem properties below to aid us in formally stating the necessary conditions for the existence of a solution. An overlay network problem is *single component* if it maps every set of processes to a weakly connected process connectivity graph. Intuitively, a single component network overlay problem prohibits a program from separating the network into multiple components. The linearization and skip list construction problem are single component.

An overlay network problem  $\mathcal{PG}$  is *disconnecting* if there is at least one set of processes  $S$  such that for every channel connectivity graph  $CP$  to which  $\mathcal{PG}$  maps  $S$ , there is a cut set  $CS$  such that  $|CS| < n - 1$  which disconnects  $S$ . Note that such a cut set exists for any graph except for a completely connected one. Essentially, a disconnecting network overlay problem requires that in at least one case the desired channel connectivity graph is not completely connected. Again, both the linearization and skip list construction problem are disconnecting.

**Problem Solutions.** A program  $\mathcal{PG}$  *satisfies* or *solves* a problem  $\mathcal{PR}$  from a predicate  $P$  if, for every set  $S$ , every computation of  $\mathcal{PG}$  that starts in a state conforming to  $P$  contains a suffix with the following property. The channel connectivity graph  $CP$  is the same in every state of this suffix and this  $CP$  is

one of the graphs to which  $\mathcal{PR}$  maps  $S$ . That is, starting from the initial state in  $P$ , the solution has to implement at least one of the required  $CP$ s.

Program stabilization is *graph-identical* if every computation of a stabilizing program contains a suffix where  $CC$  contains the same links as  $CP$ . Such program generates  $CC$  links that are already present in  $CP$ . If a process of such program receives a message, this message carries an identifier that the recipient process already stores and the process ignores the message.

A program is *unconditionally stabilizing* (or just *stabilizing*) if it solves the problem from  $P \equiv \mathbf{true}$ . That is, every computation of a stabilizing program, regardless of the initial state, contains a correct suffix. Unconditional stabilization may be too strong for a program to possess. A program is *conditionally stabilizing* if  $P \neq \mathbf{true}$ . That is, such program stabilizes from a limited set  $P$  of states.

We define two special cases of conditionally stabilizing programs. A program is *weakly channel-connectivity stabilizing* if it stabilizes only from the initial states where the channel-connectivity graph is weakly connected. A program is *existing identifier stabilizing* if it stabilizes only from states where every identifier is existing.

### 3 Necessary Conditions

The necessary conditions stated in this section show that common overlay network topology specifications prohibit the existence of unconditionally stabilizing solutions. The necessary conditions are that initially the channel connectivity graphs need to be connected and non-existing identifiers are not present.

The proofs for these conditions rely on the lemma below. Intuitively, the lemma states that for the processes to form a connected topology they have to be at least weakly connected initially.

**Lemma 1.** *If a computation of a compare-store-send program starts in a state where the channel connectivity graph  $CC$  is disconnected, the graph is disconnected in every state of this computation.*

**Proof:** Let us consider, without loss of generality, a program state where the connectivity graph contains two components  $C_1$  and  $C_2$ . Assume the opposite: the computation starting from this state contains states where the two components of  $CC$  are connected. Let us consider the first such state  $s_1$ . In this state there must be two process  $a \in C_1$  and  $b \in C_2$  that are neighbors. Assume the link is from  $a$  to  $b$ . That is,  $(a, b) \in CC$ .

Since  $s_i$  is the first connected state, this link does not belong to  $CC$  in the preceding state  $s_{i-1}$ . Since the program is compare-store-send, the new link can not appear in the process memory, it must be due to a message sent to  $a$  by another process  $c$  in state  $s_{i-1}$ . A message to  $a$  carrying  $b$  can only be sent by a process  $c$  that has links to both  $a$  and  $b$  in  $s_{i-1}$ .

Since  $(c, a) \in CC$ ,  $c$  belongs to the same component  $C_1$  as  $a$  in  $s_{i-1}$ , and since  $(c, b) \in CC$ ,  $c$  belongs to the same component  $C_2$  as  $b$  in  $s_{i-1}$ . This means that

$C_1$  and  $C_2$  are weakly connected in a state  $s_{i-1}$  that precedes  $s_i$ . However, we assumed that  $s_i$  is the first state where the two components are connected. This contradiction proves the lemma.  $\square$

**Theorem 1.** *If a compare-store-send self-stabilizing program is a solution to a single-component overlay network problem, this program must be weakly channel-connectivity stabilizing.*

**Proof:** Assume the opposite. That is, there is a self-stabilizing program  $\mathcal{PG}$  that solves a single-component overlay network problem  $\mathcal{PR}$  and it is not weakly channel-connectivity stabilizing.

Since  $\mathcal{PG}$  is a solution to  $\mathcal{PR}$ , for each set  $S$ , every computation of  $\mathcal{PG}$  contains a suffix with the prescribed  $CP$ . Since  $\mathcal{PG}$  is not necessarily weakly channel-connectivity stabilizing, this holds true for computations starting from a state where  $CC$  is disconnected. Program  $\mathcal{PG}$  is a compare-store-send program. According to Lemma 1, if its computation starts from a state where  $CC$  is disconnected, it is disconnected in every state of this computation. Since  $CP$  is a subgraph of  $CC$ , it has to be disconnected in every state of this computation as well. However,  $\mathcal{PR}$  is single-component. Since  $\mathcal{PR}$  is single component, it maps every set of processes  $S$  to a weakly connected process  $CP$ . This means that, contrary to our initial assumption,  $\mathcal{PR}$  is not a solution to  $\mathcal{PG}$ . Hence the theorem.  $\square$

**Theorem 2.** *If a graph-identical compare-store-send program is a stabilizing solution to a single-component disconnecting overlay network problem, this program must be existing identifier stabilizing.*

**Proof:** Assume the opposite. Let  $\mathcal{PG}$  be a compare-store-send program that is a graph-identical self-stabilizing solution to a single-component disconnecting overlay network problem  $\mathcal{PR}$ . Since  $\mathcal{PR}$  is disconnecting, there is a set of processes  $S$  such that for every connectivity graph, there is a cut set that disconnects this graph.

Consider a computation  $\sigma$  of  $\mathcal{PG}$  with set  $S$ . Let  $CP$  be the process connectivity graph to which this computation converges. Let  $CS$  be the cut set that separates  $S$  into two subsets  $S_1$  and  $S_2$ . Since  $\mathcal{PG}$  is graph-identical,  $\sigma$  contains a suffix where, in every state,  $CC$  has the same links as  $CP$ . Let  $s_1$  be the first state of this suffix.

We examine a set of processes  $S_1 \cup S_2$  and construct a state of the program for this set as follows. The state of every process in  $S_1 \cup S_2$  and its incoming channel is the same as in the initial state of  $\sigma$ . In addition, the incoming channels of each process  $a$  belonging to  $S_1 \cup S_2$  in this state contain the messages that are sent to  $a$  by processes in  $CS$ . From this state, we execute the actions of  $\mathcal{PG}$  for processes  $S_1 \cup S_2$  in the same sequence as in  $\sigma$ . The presence of messages from processes in  $CP$  allows us to do that. After this procedure we arrive at a state  $s_2$ . We then execute the actions of  $\mathcal{PG}$  in arbitrary fair manner. Thus constructed sequence is a computation of  $\mathcal{PG}$ .

Note that each process of  $S_1 \cup S_2$  has the same state in  $s_1$  and  $s_2$ . Since  $CS$  was a cut set of  $CP$  in  $s_1$ , there are no links between processes of  $S_1$  and  $S_2$  in either  $s_1$  or  $s_2$ . This means that  $CP$  is disconnected in  $s_2$ . Graph  $CC$  has the same links as  $CP$  in  $s_1$ . This means that  $CC$  is disconnected in  $s_2$  as well. According to Lemma 1, both  $CC$  and  $CP$  are disconnected in every state of this computation past  $s_2$ .

However,  $\mathcal{PG}$  is supposed to be a solution to  $\mathcal{PR}$ . Problem  $\mathcal{PR}$  is single component. This means our constructed computation has to contain a suffix where  $CP$  is weakly connected in every state. This contradiction proves the theorem.  $\square$

## 4 Linearization

**Problem Statement.** In the linearization problem, each set of processes is mapped to the following process connectivity graph  $CP$ . Each process  $p$  in  $CP$  contains exactly two outgoing links:  $p.r$  and  $p.l$ . The links conform to the following predicate  $LP$ :

$$(\forall a, b \in N : a < b : \mathbf{cnsq}(a, b) \Leftrightarrow ((a.r = b) \wedge (b.l = a)))$$

The predicate states that two processes are neighbors if and only if they are consequent.

**l-Corona Description.** Each process  $p$  maintains two variables  $r$  and  $l$  as required by the problem specification. The range of each variable are the process identifiers respectively to the left and to the right of  $p$ . That is,  $r$  can only store identifiers that are greater than  $p$ , while  $l$  – less than  $p$ . The value of each variable may be undefined. In this case it is equal to respectively  $-\infty$  and  $+\infty$ . If non-existent identifiers are not present in the initial state of the program computation, the  $l$  variable of the smallest id process and the  $r$  variable of the largest id process are always set to  $-\infty$  and  $+\infty$  respectively.

Each process  $p$  of l-Corona contains two actions: a receive-action and a timeout action. The receive action is enabled when there is a message in the incoming channel  $p.C$ . The operation of the action depends on the  $id$  carried by the message. If  $id$  is greater than  $p$ , it is compared to  $r$ . If  $id$  is less than  $r$ , then  $p$  discovered a closer right neighbor. Process  $p$  then forwards the old right neighbor identifier to the new process and reassigns its variable  $r$ . However, if the received  $id$  is no less than  $r$ , then the current right neighbor of  $p$  is no further away than  $id$ . In this case  $p$  sends  $id$  for process  $r$  to process. If  $r$  is not initialized, it is assigned the received  $id$ . The identifier that is smaller than  $p$  is handled similarly. The timeout action sends the process identifier to its left and right neighbors. An example computation of l-Corona is shown in Figure 2.

**Correctness Proof.** Due to the lack of space the actual proofs in this section are relegated to the technical report [17].

Observe that due to the operation of the algorithm, in case  $a < b$ , link  $(a, b)$  can only be replaced by a link  $(a, c)$  such that  $a < c < b$ . Likewise, link  $(b, a)$



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process  $p$ 
variables
   $r$ , // right identifier, greater than  $p$ 
   $l$  // left identifier, less than  $p$ 
actions
   $message(id) \in p.C \longrightarrow$ 
    receive  $message(id)$ 
    if  $id > p$  then
      if  $id < r$  then
        if  $r < +\infty$  then
          send  $message(r)$  to  $id$ 
           $r := id$ 
        else
          send  $message(id)$  to  $r$ 
      if  $id < p$  then
        if  $id > l$  then
          if  $l > -\infty$  then
            send  $message(l)$  to  $id$ 
             $l := id$ 
          else
            send  $message(id)$  to  $l$ 
    true  $\longrightarrow$ 
      if  $r < +\infty$  then send  $message(p)$  to  $r$ 
      if  $l > -\infty$  then send  $message(p)$  to  $l$ 

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**Fig. 1.** Linearization component of Corona (l-Corona)

can only be replaced by  $(b, c)$  such that  $a < c < b$ . That is, a link in  $CP$  can only be shortened. An example of  $CP$  link shortening is shown in Figure 2: the link  $(b, d)$  is shortened to  $(b, c)$  in transition from 2(a) to 2(b). Note that every process in  $CP$  contains exactly two outgoing links. One is pointing to the left, the other — to the right.

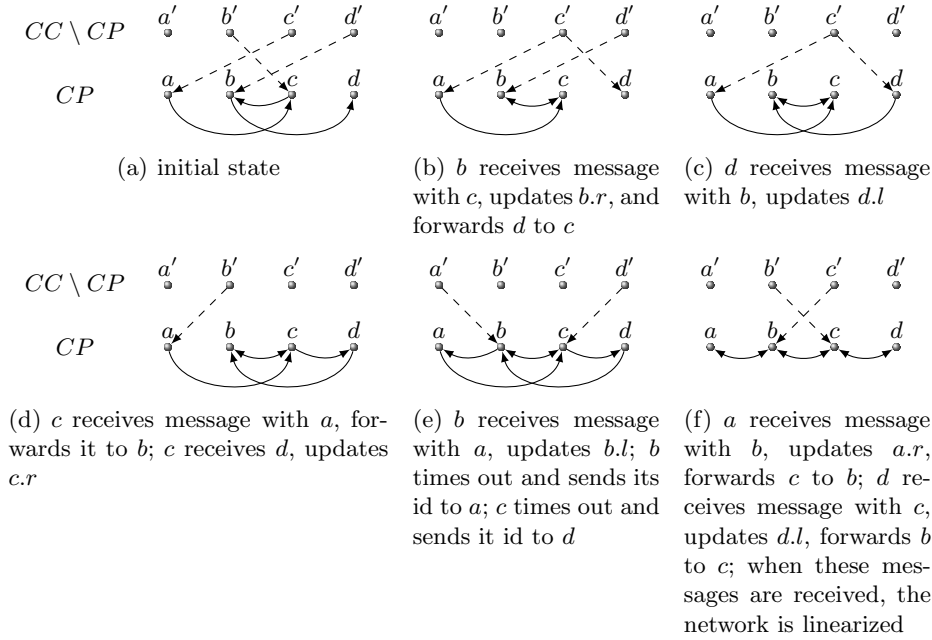
Similarly, in case  $a < b$ , a link  $(a, b) \in CC \setminus CP$  can be replaced only by a link  $(c, b)$  such that  $a < c < b$ . In the other direction, a link  $(b, a) \in CC \setminus CP$  can be replaced only by a link  $(c, a)$  such that  $a < c < b$ . Again, the link in  $CC$  can only be shortened. For example, link  $(c, a) \in CC \setminus CP$  in Figure 2 is shortened to  $(b, a)$  in transition from 2(c) to 2(d). Note that unlike  $CP$ , a process may contain more than two outgoing links in  $CC \setminus CP$ . And, while some links are shortened, longer ones may be added by timeout actions.

**Lemma 2.** *If a computation of l-Corona starts from a state where  $CC$  contains a path from process  $a$  to  $b$ , then in every state of this computation, there is a path from  $a$  to  $b$  as well.*

**Lemma 3.** *If a computation of l-Corona starts in a state where for some process  $a$  there are two links  $(a, b) \in CP$  and  $(a, c) \in CC \setminus CP$  such that  $a < c < b$ , then this computation contains a state where there is a link  $(a, d) \in CP$  where  $d \leq c$ .*

*Similarly, if the two links  $(a, b) \in CP$  and  $(a, c) \in CC \setminus CP$  are such that  $b < c < a$ , then this computation contains a state where there is a link  $(a, d) \in CP$  where  $d \geq c$ .*

Intuitively, Lemma 3 states that if there is a link in the incoming channel of a process that is shorter than what the process already stores, then, the process' links will eventually be shortened. The proof is by simple examination of the algorithm.



**Fig. 2.** Example computation of l-Corona. To simplify the picture each process is represented by two nodes. The primed nodes are the process' incoming channel. Solid lines denote identifiers stored in  $l$  and  $r$  of each process. Dashed lines are identifiers in the incoming channel.

**Lemma 4.** *If a computation of l-Corona starts in a state where for some process  $a$  there is an edge  $(a, b) \in CP$  and  $(a, c) \in CC \setminus CP$  such that  $a < b < c$ , then the computation contains a state where there is a link  $(d, c) \in CP$ , where  $d \leq b$ .*

*Similarly, if the two links  $(a, b) \in CP$  and  $(a, c) \in CC \setminus CP$  are such that  $c < b < a$ , then this computation contains a state where there is a link  $(d, c) \in CP$ , where  $d \geq b$ .*

Intuitively, the above lemma states that if there is a longer link in the channel, it will be shortened by forwarding the  $id$  to its closer successor.

**Lemma 5.** *If a computation of l-Corona starts in a state where for some processes  $a, b$ , and  $c$  such that  $a < c < b$  (or  $a > c > b$ ), there are edges  $(a, b) \in CP$  and  $(c, a) \in CC$ , then the computation contains a state where either some edge in  $CP$  is shorter than in the initial state or  $(a, c) \in CP$ .*

**Lemma 6.** *If a computation starts in a state where there is a link  $(a, b) \in CP$ , then the computation contains a state where some link in  $CP$  is shorter than in the initial state or there is a link  $(b, a) \in CP$ .*

**Lemma 7.** *If the computation is such that if  $(a, b) \in CP$  then  $(b, a) \in CP$  in every state of the computation, then this computation contains a suffix where  $((a, b) \in CP) \Rightarrow ((a, b) \in CC)$*

Lemma 7 states that if  $CP$  does not change in a computation then eventually, the links in  $CP$  contain all the links of  $CC$ .

**Lemma 8.** *Let  $CP$  be strongly connected in some state of the system. Let also for every pair of processes  $a$  and  $b$  in this state, if  $(a, b) \in CP$  then also  $(b, a) \in CP$ . In this case, this state satisfies  $LP$ .*

**Theorem 3.** *Program l-Corona is a weakly channel-connectivity existing identifier stabilizing solution to the linearization problem.*

## 5 Skip List Stabilization

**Problem Statement.** The problem maps each set of processes to a set of valid 1-2 skip lists. In each skip list the bottom level is linearized and for each level  $i > 0$ , the following predicate  $SL$  holds: any two processes  $a$  and  $b$  are neighbors at level  $i$  if the distance between  $a$  and  $b$  at level  $i - 1$  is no less than 2 and no more than 3 hops.

**s-Corona Description.** Each level of s-Corona has two sub-levels: *status decision* sublevel — sd-Corona, and *neighbor linking* sublevel sn-Corona.

sd-Corona of level  $i$  uses neighborhood information of level  $i - 1$  to determine the *status* of a process at level  $i$ . Depending on whether the process participates at level  $i$ , the process status is either **up** or **down**. If a process is **down** at level  $i$  it is **down** at all levels above  $i$ . On the basis of this information sn-Corona links  $p$  with its left and right neighbor at level  $i$ . sn-Corona of level  $i$  does not influence the operation of sd-Corona at level  $i$ . If process  $p$  is **up**, sn-Corona inspects  $i - 1$  neighbors three hops away from  $p$  to determine the nearest **up** neighbor and connects it to  $p$ . To ensure overall  $CC$  connectivity preservation sn-Corona sends itself the link to the previous neighbor at level 0 for l-Corona to handle. The stabilizing implementation of sn-Corona is relatively straightforward. We, therefore, do not present it and focus on sd-Corona instead.

**sd-Corona Description.** sd-Corona operates similarly at each level. At every level it maintains a set of variables that belong to only this level. At level  $i$ , process  $p$  of sd-Corona makes use of the identities  $p.(i - 1).l$  and  $p.(i - 1).r$  of its respective left and right neighbors at level  $i - 1$ . sd-Corona at level  $i$  does not change these identities. Therefore, they are assumed constant for the operation of sd-Corona at this level.

At level  $i$ , process  $p$  of sd-Corona maintains two status variables:  $p.i.st$  and  $p.i.str$ . The values for both are **up** and **down**. Variable  $p.i.st$  stores the status of  $p$  itself. Variable  $p.i.str$  keeps the status of the right neighbor of  $p$ . The status of the rightmost and leftmost process at level  $i$  are fixed as **up** and **down** respectively and are considered constant.

The idea of sd-Corona is to ensure that no two consequent neighbors are **up** and no three of them are **down**. To break symmetry in deciding who of the neighbors should change status, the decision of the right neighbor is favored.

```

process  $p$ 
constants
   $p.(i-1).r, p.(i-1).l$  // identifiers of right and left neighbors at level  $i-1$ 
variables
   $p.i.st$ , // own status at level  $i$ , either up or down
           // constant and set to up for process with highest id
           // constant and set to down for process with lowest id
   $p.i.str$  // status of right neighbor
actions
   $message(status) \in p.C$  from  $p.(i-1).r \longrightarrow$ 
    receive  $message(status)$ ,
     $p.i.str := status$ ,
    if  $(p.i.st = \mathbf{up}) \wedge (p.i.str = \mathbf{up})$  then
       $p.i.st := \mathbf{down}$ 

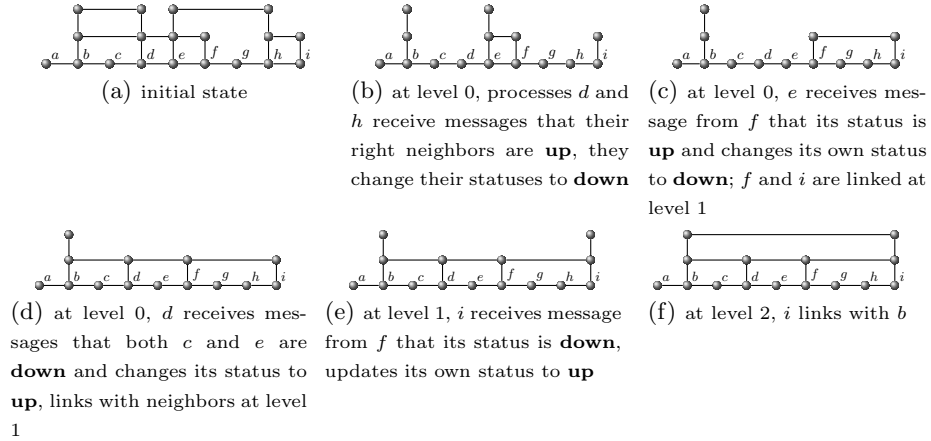
   $message(status) \in p.C$  from  $p.(i-1).l \longrightarrow$ 
    receive  $message(status)$ ,
    if  $(status = \mathbf{down}) \wedge (p.i.st = \mathbf{down}) \wedge (p.i.str = \mathbf{down})$  then
       $p.i.st := \mathbf{up}$ 

  true  $\longrightarrow$ 
    if  $p.(i-1).r < +\infty$  then send  $message(p.i.st)$  to  $p.(i-1).r$ ,
    if  $p.(i-1).l > -\infty$  then send  $message(p.i.st)$  to  $p.(i-1).l$ 

```

**Fig. 3.** Status decision component of skip list part of Corona (sd-Corona)

sd-Corona has three guards. The timeout guard sends the status of  $p$  to its neighbors. The two receive guards process messages from the left and right neighbors of  $p$ . If  $p$  receives a status value from its right neighbor, it updates  $p.i.str$  and its own status. If both  $p$  and its right neighbor are **up** then  $p$  changes its status to **down**. If  $p$  receives a message from its left neighbor and discovers that its neighbors and itself are **down**, it changes its own status to **up**. The operation of s-Corona is illustrated in Figure 4.



**Fig. 4.** Example computation of s-Corona. For simplicity, neighbor links are always assumed bidirectional.

**Correctness Proof.** Due to the lack of space the actual proofs in this section are relegated to the technical report [17].

**Lemma 9.** *If process  $a$  at level  $i$  of  $sd$ -Corona changes its status  $st$  only a finite number of times in the computation, then this computation contains a suffix where every message in the outgoing channel of  $a$  carries the same value as  $a.i.st$  and  $b.i.str = a.i.st$  for the left neighbor  $b$  of  $a$ .*

**Proposition 1.** *If, in some computation, none of the processes at some level  $i$  change their status, then this computation also contains a suffix where for each process  $a$ ,  $a.i.r$  and  $a.i.l$  point to the nearest up process at this level and do not change.*

**Lemma 10.** *If in some computation none of the processes at some level  $i - 1$  change their right and left neighbors, then this computation also contains a suffix where none of the processes at level  $i$  change their status.*

**Lemma 11.** *In each computation of  $s$ -Corona, every process  $p$  changes its status and its left and right neighbors only finitely many times.*

**Theorem 4.**  *$s$ -Corona is a weakly channel-connectivity existing identifiers stabilizing solution to the 1-2 skip list construction problem.*

## 6 Skip Graph

In closing we would like to describe the extension of Corona to skip-graph. The skip list may not be robust or convenient for concurrent searches. Indeed, a failure of a single top-level node may disconnect the system. A  $k$ - $l$  skip graph [3], the processes at level  $i - 1$  that do not participate at level  $i$ , form an alternative list at level  $i$ . The process continues recursively both at the main as well as at the alternative list. That is, each list splits into several at each level. This way, most nodes have links at all levels of the skip graph. This property makes skip graphs more robust and better suited for concurrent searchers than skip lists.

Corona can be extended to construct a skip-graph. For that, Corona has to run two instances of  $sn$ -corona at each level  $i$ . The main instance operates as before, while the alternative instance constructs an alternative list out of the nodes that do not participate in the main list. Note that in the 1-2 skip list, one alternative list can always be constructed. An instance of  $sd$ -Corona at level  $i + 1$  runs each of the lists. The process of splitting into main and alternative list continues iteratively on each thus formed list. No changes are required in either  $l$ -Corona or  $sd$ -Corona.

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